UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MICHIGAN SOUTHERN DIVISION

United States Securities & Exchange Commission,

Civil No. 2:09-CV-12950-DML-VMM

Plaintiff,

Honorable David M. Lawson

Magistrate Judge Virginia Morgan

v.

D-4 BBC Equities, LLC, and D-5 Bravata Financial Group, LLC

Defendants.

Corporate Defendants' Position on Docket Nos. 91, 123, 130, and 141

The Court has asked the Corporate Defendants for their positions on the motions filed under Docket Nos. 91, 123, 130, and 141, which will be heard this afternoon. The Corporate Defendants defer to the Receiver on each motion, given his role in this litigation under this Court's Receivership Order (Docket No. 54, Sept. 2, 2009). The Receiver has indicated that he will be present at today's hearing with his attorneys and can address the issues raised in each motion.

Respectfully submitted,

BUTZEL LONG

Dated: November 23, 2009 By: /s/ Joseph E. Richotte

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